



Enabler Release Definition for Client Provisioning

Candidate Version 1.1 – 26 Feb 2008

Open Mobile Alliance
OMA-ERELD-ClientProvisioning-V1_1-20080226-C

Use of this document is subject to all of the terms and conditions of the Use Agreement located at <http://www.openmobilealliance.org/UseAgreement.html>.

Unless this document is clearly designated as an approved specification, this document is a work in process, is not an approved Open Mobile Alliance™ specification, and is subject to revision or removal without notice.

You may use this document or any part of the document for internal or educational purposes only, provided you do not modify, edit or take out of context the information in this document in any manner. Information contained in this document may be used, at your sole risk, for any purposes. You may not use this document in any other manner without the prior written permission of the Open Mobile Alliance. The Open Mobile Alliance authorizes you to copy this document, provided that you retain all copyright and other proprietary notices contained in the original materials on any copies of the materials and that you comply strictly with these terms. This copyright permission does not constitute an endorsement of the products or services. The Open Mobile Alliance assumes no responsibility for errors or omissions in this document.

Each Open Mobile Alliance member has agreed to use reasonable endeavors to inform the Open Mobile Alliance in a timely manner of Essential IPR as it becomes aware that the Essential IPR is related to the prepared or published specification. However, the members do not have an obligation to conduct IPR searches. The declared Essential IPR is publicly available to members and non-members of the Open Mobile Alliance and may be found on the “OMA IPR Declarations” list at <http://www.openmobilealliance.org/ipr.html>. The Open Mobile Alliance has not conducted an independent IPR review of this document and the information contained herein, and makes no representations or warranties regarding third party IPR, including without limitation patents, copyrights or trade secret rights. This document may contain inventions for which you must obtain licenses from third parties before making, using or selling the inventions. Defined terms above are set forth in the schedule to the Open Mobile Alliance Application Form.

NO REPRESENTATIONS OR WARRANTIES (WHETHER EXPRESS OR IMPLIED) ARE MADE BY THE OPEN MOBILE ALLIANCE OR ANY OPEN MOBILE ALLIANCE MEMBER OR ITS AFFILIATES REGARDING ANY OF THE IPR'S REPRESENTED ON THE “OMA IPR DECLARATIONS” LIST, INCLUDING, BUT NOT LIMITED TO THE ACCURACY, COMPLETENESS, VALIDITY OR RELEVANCE OF THE INFORMATION OR WHETHER OR NOT SUCH RIGHTS ARE ESSENTIAL OR NON-ESSENTIAL.

THE OPEN MOBILE ALLIANCE IS NOT LIABLE FOR AND HEREBY DISCLAIMS ANY DIRECT, INDIRECT, PUNITIVE, SPECIAL, INCIDENTAL, CONSEQUENTIAL, OR EXEMPLARY DAMAGES ARISING OUT OF OR IN CONNECTION WITH THE USE OF DOCUMENTS AND THE INFORMATION CONTAINED IN THE DOCUMENTS.

© 2008 Open Mobile Alliance Ltd. All Rights Reserved.

Used with the permission of the Open Mobile Alliance Ltd. under the terms set forth above.

Contents

1. SCOPE	4
2. REFERENCES	5
2.1 NORMATIVE REFERENCES.....	5
2.2 INFORMATIVE REFERENCES.....	5
3. TERMINOLOGY AND CONVENTIONS	6
3.1 CONVENTIONS.....	6
3.2 DEFINITIONS.....	6
3.3 ABBREVIATIONS.....	7
4. RELEASE VERSION OVERVIEW	8
4.1 MINIMUM FUNCTIONALITY DESCRIPTION FOR CLIENT PROVISIONING	8
5. DOCUMENT LISTING FOR CLIENT PROVISIONING	9
6. CONFORMANCE REQUIREMENTS NOTATION DETAILS	10
7. ERDEF FOR CLIENT PROVISIONING - CLIENT REQUIREMENTS	11
8. ERDEF FOR CLIENT PROVISIONING - SERVER REQUIREMENTS	12
APPENDIX A. CHANGE HISTORY (INFORMATIVE).....	13
A.1 APPROVED VERSION HISTORY	13
A.2 DRAFT/CANDIDATE VERSION 1.1 HISTORY	13

1. Scope

The scope of this document is limited to the Enabler Release Definition of Client Provisioning version 1.1 according to Open Mobile Alliance Release process and the documents listed in section 0. The Open Mobile Alliance continues the work of the WAP Forum to define a set of specifications to be used by service applications.

Provisioning is the process by which a WAP client is configured with a minimum of user interaction. The term covers both over the air (OTA) provisioning and provisioning by means of, e.g., SIM cards.

2. References

2.1 Normative References

- [CREQ] “Specification of WAP Conformance Requirements”. WAP Forum™. WAP-221-CREQ.
URL:<http://www.openmobilealliance.org/>
- [RFC2119] “Key words for use in RFCs to Indicate Requirement Levels”. S. Bradner. March 1997.
URL:<http://www.ietf.org/rfc/rfc2119.txt>
- [PROVBOOT] “Provisioning Bootstrap 1.1”, Open Mobile Alliance™, OMA-WAP-PROVBOOT-V1_1, URL:
<http://www.openmobilealliance.org/>
- [PROVCONT] “Provisioning Content 1.1”, Open Mobile Alliance™, OMA-WAP-PROVCONT-v1_1, URL:
<http://www.openmobilealliance.org>
- [PROVUAB] “Provisioning User Agent Behaviour 1.1”, Open Mobile Alliance™, OMA-WAP-PROVUAB-V1_1, URL: <http://www.openmobilealliance.org/>
- [PROVSC] “Smart Card Provisioning 1.1”, Open Mobile Alliance™, OMA-WAP-PROVSC-v1_1, URL:
<http://www.openmobilealliance.org>
- [PUSHOTA] “WAP Push OTA Specification”, WAP Forum™, WAP-235-PushOTA, URL:
<http://www.openmobilealliance.org/>
- [RFC2279] “UTF-8, a transformation format of ISO 10646”, ed. F. Yergeau, 1998, URL:
<http://www.ietf.org/rfc/rfc2279>.
- [WBXML] “WAP Binary XML Content Format”, WAP Forum™, WAP-192-WBXML, URL:
<http://www.openmobilealliance.org/>

2.2 Informative References

- [PROVARCH] “Provisioning Architecture Overview 1.1”, Open Mobile Alliance™, OMA-WAP-PROVARCH-V1_1, URL: <http://www.openmobilealliance.org/>
- [WAPARCH] “WAP Architecture”. WAP Forum™. WAP-210-WAPArch.
URL:<http://www.openmobilealliance.org/>

3. Terminology and Conventions

3.1 Conventions

The key words “MUST”, “MUST NOT”, “REQUIRED”, “SHALL”, “SHALL NOT”, “SHOULD”, “SHOULD NOT”, “RECOMMENDED”, “MAY”, and “OPTIONAL” in this document are to be interpreted as described in [RFC2119].

All sections and appendixes, except “Scope” and “Introduction”, are normative, unless they are explicitly indicated to be informative.

The formal notation convention used in sections 7 and 8 to formally express the structure and internal dependencies between specifications in the Enabler Release specification baseline is detailed in [IOPPROC].

3.2 Definitions

Bootstrap Server	Bootstrap Server is the sender of the bootstrap message. It may physically be co-located with a TPS but that is irrelevant from an architecture point of view. The address of the Bootstrap Server is not relevant.
Characteristics	This document uses the term characteristics to define the characteristics of, typically, a Network Element (access point, proxy). The word is broad enough to be used in all the required contexts.
Configuration Context	A Configuration Context is a set of connectivity and application configurations typically associated with a single Trusted Provisioning Server. However, the Configuration Context can also be independent of any Trusted Provisioning Server. A Trusted Provisioning Server can be associated with several Configuration Contexts, but a Trusted Provisioning Server cannot provision a device outside the scope of the Configuration Contexts associated with that particular Trusted Provisioning Server. In fact, all transactions related to provisioning are restricted to the Configuration Contexts associated with the Trusted Provisioning Server.
Connectivity Information	This connectivity information relates to the parameters and means needed to access WAP infrastructure. This includes network bearers, protocols, access point addresses as well as proxy addresses and Trusted Provisioning Server URLs.
Enabler Release	a collection of specifications that combined together form an enabler for a service area, e.g. a download enabler, a browsing enabler, a messaging enabler, a location enabler, etc. The specifications that are forming an enabler should combined fulfill a number of related market requirements.
Minimum Functionality Description	Description of the guaranteed features and functionality that will be enabled by implementing the minimum mandatory part of the Enabler Release.
Network Access Point	A physical access point is an interface point between the wireless network and the fixed network. It is often a RAS (Remote Access Server), an SMSC, a USSDC, or something similar. It has an address (often a telephone number) and an access bearer.
Provisioning document	A particular instance of a XML document encoded according to this specification. The MIME-type of the textual document is <i>text/vnd.wap.connectivity-xml</i> . The MIME-type of the tokenised document is <i>application/vnd.wap.connectivity-wbxml</i> .
Trusted Provisioning Server	A Trusted Provisioning Server (TPS), is a source of provisioning information that can be trusted by a Configuration Context. They are the only entities that are allowed to provision the device with static configurations. In some cases, however, a single TPS is the only server allowed to configure the phone. Provisioning related to a specific TPS is restricted to Configuration Contexts that are associated with this TPS.
Trusted Proxy	The trusted (provisioning) proxy has a special position as it acts as a front end to a trusted provisioning server. The trusted proxy is responsible to protect the end user from malicious configuration information.
WAP Proxy	The WAP proxy is an endpoint for the WTP, WSP and WTLS protocols, as well as a proxy that is able to access WAP content. A WAP Proxy can have functionality such as that of, for example, a WSP Proxy or a WTA Proxy.
WSP Proxy	A generic WAP proxy, similar in functionality to a HTTP proxy. It is a variant of a WAP Proxy.
WTA Proxy	The WTA Proxy is a Wireless Telephony proxy as defined by WAP.

3.3 Abbreviations

DTD	Document Type Definition
ERDEF	Enabler Requirement Definition
ERELD	Enabler Release Definition
HTTP	HyperText Transfer Protocol
ME	Mobile Equipment
MIME	Multipurpose Internet Mail Extensions
NAP	Network Access Point
OMA	Open Mobile Alliance
OTA	Over-the-Air
SIM	Subscriber Identity Module
SMSC	Short Message Service Centre
TPS	Trusted Provisioning Server
URL	Uniform Resource Locator
USSDC	Unstructured Supplementary Service Data Centre
WAP	Wireless Application Protocol
WBXML	WAP Binary XML
WIM	WAP Identity Module
WSP	Wireless Session Protocol
WTA	Wireless Telephony Application
WTLS	Wireless Transport Layer Security
XML	eXtensible Mark-up Language

4. Release Version Overview

This document outlines the Enabler Release Definition for Client Provisioning version 1.1 and the respective conformance requirements for clients and servers implementing claiming compliance to it as defined by Open Mobile Alliance across the specification baseline.

Client Provisioning version 1.1 is a backwards compatible extension of the client provisioning functionality included in WAP 2.0. This version has added support for direct access (and WAP Proxy support) and application access provisioning.

Provisioning is the process by which a WAP client is configured with a minimum of user interaction. The term covers both over the air (OTA) provisioning and provisioning by means of, e.g., SIM cards. The WAP provisioning mechanism leverages the WAP technology whenever possible [WAPARCH]. This includes the use of the WAP stack as well as mechanisms such as WAP Push [PUSHOTA]. The provisioning architecture attempts to generalise the mechanisms used by different network types so that the network specific part is isolated to the bootstrap phase.

4.1 Minimum Functionality Description for Client Provisioning

This is an informative section. It describes the functionality that is delivered with the Client Provisioning specifications and their internal mandatory requirements.

The Client Provisioning specifications define how initial configuration parameters can be delivered to a WAP client from a bootstrap server that is part of the provisioning infrastructure. The mandatory functionality covers basic network transport connectivity information: parameters for network access points and the proxies that are to be used. The network access point parameters define also which network bearers are supposed to be used, but clients are not required to support any particular bearers. The mandatory ACCESS characteristic can be used to provide rules that control how the WAP client will access the network either through proxies or directly through network access points. As an option, it is possible to use the APPLICATION characteristic to deliver application protocol configuration information related to e.g. the multimedia messaging service. The optional functionality also includes the possibility to define proxies and network access points that may be used only in specific mobile networks or countries. The configuration information is represented using provisioning documents formatted according to [PROVCONT].

The provisioning documents are delivered as binary XML documents [WBXML] using the UTF-8 [RFC2279] character set. These documents are delivered over the air using a bootstrap protocol [PROVBOOT]. The details of the bootstrap protocol, especially the security mechanisms, depend on the network technology, but the basic mechanism uses connectionless WAP push [PUSHOTA]. The specifications also define mandatory security mechanisms that can be used with all network technologies. As an option, the provisioning documents can be delivered using WIM or SIM cards [PROVSC].

The specifications cover also how the WAP client is required to interpret the received provisioning documents. There are mandatory rules for how the security mechanisms are to be used and how the provisioning documents delivered through different channels must be combined. There are also mandatory rules for how to implement conflict resolution, error handling, proxy selection and parameter prioritisation when interpreting the received provisioning documents. The provisioning information received by a WAP client is expected to be stored in configuration contexts, and there is a mechanism that can be used to control how many configuration contexts may be used in the client. These aspects are covered by [PROVUAB].

5. Document Listing for Client Provisioning

This section is normative.

Doc Ref	Permanent Document Reference	Description
Technical Specifications		
[PROVARCH]	OMA-WAP-ProvArch-v1_1-20080226-C	Provisioning Architecture Overview
[PROVBOOT]	OMA-WAP-ProvBoot-v1_1-20080226-C	Specification that defines the Provisioning Bootstrap
[PROVCONT]	OMA-WAP-TS-ProvCont-v1_1-20080226-C	Specification that defines the Provisioning Content
[PROVUAB]	OMA-WAP-ProvUAB-v1_1-20080226-C	Specification that describes the Provisioning User Agent Behaviour
[PROVSC]	OMA-WAP-TS-ProvSC-V1_1-20080226-C	Specification that describes the Smart Card Provisioning

Table 1: Listing of Documents in Client Provisioning V1.1

6. Conformance Requirements Notation Details

This section is informative

The tables in following chapters use the following notation:

Item:

Entry in this column **MUST** be a valid ScrItem according to [CREQ].

Feature/Application:

Entry in this column **SHOULD** be a short descriptive label to the **Item** in question.

Status:

Entry in this column **MUST** accurately reflect the architectural status of the **Item** in question.

- M means the **Item** is mandatory for the class
- O means the **Item** is optional for the class
- NA means the **Item** is not applicable for the class

Requirement:

Expression in the column **MUST** be a valid Terminal Expression according to [CREQ] and it **MUST** accurately reflect the architectural requirement of the **Item** in question.

7. ERDEF for Client Provisioning - Client Requirements

This section is normative.

Item	Feature / Application	Status	Requirement
OMA-ERDEF-PROVISIONING-C-001	Provisioning V1_1 Client	M	PROVCONT:MCF AND PROVBOOT:MCF AND PROVUAB:MCF
OMA-ERDEF-PROVISIONING-C-002	Smart Card Provisioning V1_1 Client	O	PROVSC:MCF

Table 2 ERDEF for Client Provisioning Client-side Requirements

8. ERDEF for Client Provisioning - Server Requirements

This section is normative.

Item	Feature / Application	Status	Requirement
OMA-ERDEF-PROVISIONING-S-001	Provisioning V1_1 Server	M	PROVCONT:MSF

Table 3 ERDEF for Client Provisioning Server-side Requirements

Appendix A. Change History

(Informative)

A.1 Approved Version History

Reference	Date	Description
n/a	n/a	No prior version

A.2 Draft/Candidate Version 1.1 History

Document Identifier	Date	Sections	Description
Candidate versions: OMA-ERELD-ClientProvisioning-v1_1	11 Nov 2002	n/a	Initial version of document
	24 Mar 2004	ProvSC	CR DM-2004-0059 incorporated
	28 Apr 2005	ProvArch ProvBoot ProvCont ProvSC ProvUAB	CR DM-2003-0093 incorporated. Template update. CR DM-2003-0093 incorporated. Template update. CR DM-2003-0021, 0048 incorporated. Template update. Template update. CR DM-2003-0093 incorporated. Template update.
Draft Versions: OMA-ERELD-ClientProvisioning-V1_1	25 Oct 2007	All	Updated document listing Updated template
Candidate versions: OMA-ERELD-ClientProvisioning-v1_1	26 Feb 2008	All	Updated document listing Status changed to Candidate by TP TP ref# OMA-TP-2008-0085- INP_ClientProvisioning_V1_1_ERP_for_Notification