



## **Notification Initiated Session, Version 1.1.2**

Approved Version 05-December-2003

---

Open Mobile Alliance  
OMA-SyncML-DMNotification-V1\_1\_2-20031205-A

Continues the Technical Activities  
Originated in the SyncML Initiative



Use of this document is subject to all of the terms and conditions of the Use Agreement located at <http://www.openmobilealliance.org/UseAgreement.html>.

Unless this document is clearly designated as an approved specification, this document is a work in process, is not an approved Open Mobile Alliance™ specification, and is subject to revision or removal without notice.

You may use this document or any part of the document for internal or educational purposes only, provided you do not modify, edit or take out of context the information in this document in any manner. Information contained in this document may be used, at your sole risk, for any purposes. You may not use this document in any other manner without the prior written permission of the Open Mobile Alliance. The Open Mobile Alliance authorizes you to copy this document, provided that you retain all copyright and other proprietary notices contained in the original materials on any copies of the materials and that you comply strictly with these terms. This copyright permission does not constitute an endorsement of the products or services. The Open Mobile Alliance assumes no responsibility for errors or omissions in this document.

Each Open Mobile Alliance member has agreed to use reasonable endeavors to inform the Open Mobile Alliance in a timely manner of Essential IPR as it becomes aware that the Essential IPR is related to the prepared or published specification. However, the members do not have an obligation to conduct IPR searches. The declared Essential IPR is publicly available to members and non-members of the Open Mobile Alliance and may be found on the “OMA IPR Declarations” list at <http://www.openmobilealliance.org/ipr.html>. The Open Mobile Alliance has not conducted an independent IPR review of this document and the information contained herein, and makes no representations or warranties regarding third party IPR, including without limitation patents, copyrights or trade secret rights. This document may contain inventions for which you must obtain licenses from third parties before making, using or selling the inventions. Defined terms above are set forth in the schedule to the Open Mobile Alliance Application Form.

NO REPRESENTATIONS OR WARRANTIES (WHETHER EXPRESS OR IMPLIED) ARE MADE BY THE OPEN MOBILE ALLIANCE OR ANY OPEN MOBILE ALLIANCE MEMBER OR ITS AFFILIATES REGARDING ANY OF THE IPR'S REPRESENTED ON THE “OMA IPR DECLARATIONS” LIST, INCLUDING, BUT NOT LIMITED TO THE ACCURACY, COMPLETENESS, VALIDITY OR RELEVANCE OF THE INFORMATION OR WHETHER OR NOT SUCH RIGHTS ARE ESSENTIAL OR NON-ESSENTIAL.

THE OPEN MOBILE ALLIANCE IS NOT LIABLE FOR AND HEREBY DISCLAIMS ANY DIRECT, INDIRECT, PUNITIVE, SPECIAL, INCIDENTAL, CONSEQUENTIAL, OR EXEMPLARY DAMAGES ARISING OUT OF OR IN CONNECTION WITH THE USE OF DOCUMENTS AND THE INFORMATION CONTAINED IN THE DOCUMENTS.

© 2003-2004 Open Mobile Alliance Ltd. All Rights Reserved.

Used with the permission of the Open Mobile Alliance Ltd. under the terms set forth above.

# Contents

<b>1. SCOPE</b> .....	<b>4</b>
<b>2. REFERENCES</b> .....	<b>5</b>
<b>2.1 NORMATIVE REFERENCES</b> .....	<b>5</b>
<b>2.2 INFORMATIVE REFERENCES</b> .....	<b>5</b>
<b>3. TERMINOLOGY AND CONVENTIONS</b> .....	<b>6</b>
<b>3.1 CONVENTIONS</b> .....	<b>6</b>
<b>3.2 DEFINITIONS</b> .....	<b>6</b>
<b>4. INTRODUCTION</b> .....	<b>7</b>
<b>5. SERVER ALERTED MANAGEMENT SESSION</b> .....	<b>8</b>
<b>6. STRUCTURE OF GENERAL NOTIFICATION INITIATED SESSION ALERT</b> .....	<b>9</b>
<b>6.1 SYNTAX FOR THE INITIATION NOTIFICATION</b> .....	<b>9</b>
<b>6.2 DESCRIPTION OF THE FIELDS</b> .....	<b>10</b>
6.2.1 Trigger Message.....	10
6.2.2 Digest .....	10
6.2.3 Trigger.....	10
6.2.4 Header of the Trigger Message .....	10
6.2.5 Body of the Trigger Message.....	10
6.2.6 Version Information.....	10
6.2.7 User Interaction Mode.....	10
6.2.8 Initiator of the Management Action .....	11
6.2.9 Future Use of the Device Management.....	11
6.2.10 Session Identifier.....	11
6.2.11 Length of the Identifier .....	11
6.2.12 Server Identifier .....	11
6.2.13 Vendor Specific Information.....	11
<b>7. SYNCML DEVICE MANAGEMENT TRANSPORT DEPENDANT PROFILES</b> .....	<b>12</b>
<b>7.1 PACKAGE #0 DELIVERED USING WAP PUSH</b> .....	<b>12</b>
7.1.1 Using non WAP Push capable devices.....	12
<b>7.2 PACKAGE #0 OVER OBEX</b> .....	<b>12</b>
<b>APPENDIX A. STATIC CONFORMANCE REQUIREMENTS (NORMATIVE)</b> .....	<b>13</b>
<b>APPENDIX B. CHANGE HISTORY (INFORMATIVE)</b> .....	<b>14</b>
<b>B.1 APPROVED VERSION HISTORY</b> .....	<b>14</b>
<b>B.2 DRAFT/CANDIDATE VERSION 1.1.2 HISTORY</b> .....	<b>14</b>
<b>APPENDIX C. EXAMPLE OF TRIGGER MESSAGE FROM SERVER (INFORMATIVE)</b> .....	<b>15</b>

# 1. Scope

This document specifies the SyncML Device Management Notification Initiation package from the server. A management server can use this notification capability to cause the client to initiate a connection back to the management server.

The SyncML Initiative, Ltd. Was a not-for-profit corporation formed by a group of companies who co-operated to produce an open specification for data synchronization and device management. Prior to SyncML, data synchronization and device management had been based on a set of different, proprietary protocols, each functioning only with a very limited number of devices, systems and data types. These non-interoperable technologies have complicated the tasks of users, manufacturers, service providers, and developers. Further, a proliferation of different, proprietary data synchronization and device management protocols has placed barriers to the extended use of mobile devices, has restricted data access and delivery and limited the mobility of the users.

## SyncML Components

SyncML is a specification that contains the following main components:

- An XML-based representation protocol
- A synchronization protocol and a device management protocol
- Transport bindings for the protocol
- A device description framework for device management

## 2. References

### 2.1 Normative References

- [DMCONF] “Device Management Conformance Requirements, Version 1.1.2”. Open Mobile Alliance™. OMA-SyncML-DMConReqs-V1\_1\_2. [URL:http://www.openmobilealliance.org/tech/docs](http://www.openmobilealliance.org/tech/docs)
- [IANA] Internet Assigned Numbers Authority. [URL:http://www.iana.org](http://www.iana.org)
- [OBEXBD] “SyncML OBEX Binding, version 1.1.2”. Open Mobile Alliance™. OMA-SyncML-OBEXBinding-V1\_1\_2. [URL:http://www.openmobilealliance.org/tech/docs](http://www.openmobilealliance.org/tech/docs)
- [PROVARCH] “Provisioning Architecture Overview 1.1”. Open Mobile Alliance™. OMA-WAP-ProvArch-v1\_1. [URL:http://www.openmobilealliance.org/tech/docs](http://www.openmobilealliance.org/tech/docs)
- [PUSHMSG] “Push Message”. Open Mobile Alliance™. OMA-WAP-251-PushMessage. [URL:http://www.openmobilealliance.org/tech/docs](http://www.openmobilealliance.org/tech/docs)
- [PUSHOTA] “WAP Push OTA Specification”. Open Mobile Alliance™. OMA-WAP-235-PushOTA. [URL:http://www.openmobilealliance.org/tech/docs](http://www.openmobilealliance.org/tech/docs)
- [RFC2119] “Key words for use in RFCs to Indicate Requirement Levels”. S. Bradner. March 1997. [URL:http://www.ietf.org/rfc/rfc2119.txt](http://www.ietf.org/rfc/rfc2119.txt)
- [RFC2234] “Augmented BNF for Syntax Specifications: ABNF”. D. Crocker, Ed., P. Overell. November 1997. [URL:http://www.ietf.org/rfc/rfc2234.txt](http://www.ietf.org/rfc/rfc2234.txt)
- [SYNCPRO] “SyncML Data Sync Protocol, version 1.1.2”. Open Mobile Alliance™. OMA-SyncML-DataSyncProtocol-V1\_1\_2. [URL:http://www.openmobilealliance.org/tech/docs](http://www.openmobilealliance.org/tech/docs)
- [WSP] “Wireless Session Protocol Specification”. Open Mobile Alliance™. OMA-WAP-WSP-1\_0. [URL:http://www.openmobilealliance.org/tech/docs](http://www.openmobilealliance.org/tech/docs)

### 2.2 Informative References

- [WAPARCH] “WAP Architecture”. Open Mobile Alliance™. WAP-210-WAPArch. [URL:http://www.openmobilealliance.org/tech/docs](http://www.openmobilealliance.org/tech/docs)

## 3. Terminology and Conventions

### 3.1 Conventions

The key words “MUST”, “MUST NOT”, “REQUIRED”, “SHALL”, “SHALL NOT”, “SHOULD”, “SHOULD NOT”, “RECOMMENDED”, “MAY”, and “OPTIONAL” in this document are to be interpreted as described in [RFC2119].

All sections and appendixes, except “Scope” and “Introduction”, are normative, unless they are explicitly indicated to be informative.

Any reference to components of the DTD’s or XML snippets are specified in this typeface.”

### 3.2 Definitions

Message Sequence Chart Notation used in the message sequence charts (MSC):

**Box**

Indicates the start of a procedure or an internal process in a device.

**Hexagon**

Indicates a condition that is needed to start the transaction below this hexagon.

**Arrow**

Represents a message, or transaction.

## 4. Introduction

Many devices cannot continuously listen for connections from a management server. Other devices simply do not wish to “open a port” (i.e. accept connections) for security reasons. However, most devices can receive unsolicited messages, sometimes called “notifications”. Some handsets, for example, can receive SMS messages. Other devices may have the ability to receive other, similar datagram messages.

A management server can use this notification capability to cause the client to initiate a connection back to the management server. This connection might be over HTTP, WAP or another transport protocol.

The contents of such a “Notification Initiation Alert” might be empty, but the message itself may be signed such that the client can authenticate it. The result of receiving such an alert would be for the client to initiate a connection to the management server that sent the alert. In this scenario, the client might verify that this management server is among those authorized to request such activity. Alternatively, the contents of the alert might indicate that another management server should be contacted.

An identical effect of receiving a Notification Initiation Alert can also be caused in other ways. For example, the user interface (UI) of the device may allow the user to tell the client to initiate a management session. Or, the management client might initiate a session as the result of a timer expiring. Of course, a fault of some type in the device could also cause the management client to initiate a session.

## 5. Server Alerted Management Session

This notification message is intended to provide a possibility for the server to alert the client to perform a management session. When the server alerts the client, it can tell for example the protocol version and whether the server proposes the session to be a foreground or background event. It can also tell if the session is happening because server has some management actions to perform or if the user caused the start of the session. The server **MUST** also send a digest that is included to prevent any Denial of Service (DoS) attacks.

Figure 1 describes the MSC how the server alerts management session.

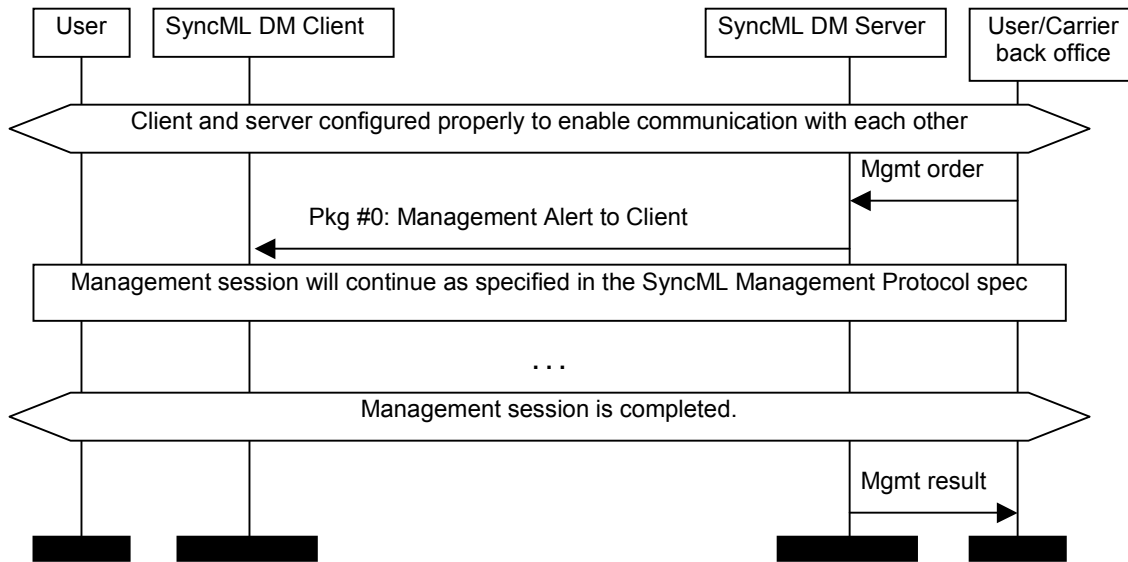


Figure 1. MSC of the Server Alerted Management session

The package flow presented above is one SyncML Device Management session. This means that all messages have the same SyncML Session ID.



## 6. Structure of General Notification Initiated Session Alert

General Package#0 is the default format used for the Notification Initiated Session Trigger Message. This default format can be used if this document does not describe a special format for initialization purposes.

The following figure describes the format of the General Package #0.



Figure 2. Format of the General Notification Trigger Message (Package#0)

The MIME type for the General Notification Initiated Session Alert message is *application/vnd.syncml.notification* and the Content-Type code for that is *0x44*. Byte order for General Notification Initiated Session Alert message is Big Endian (Network order).

### 6.1 Syntax for the Initiation Notification

The following ABNF [RFC2234] defines the syntax for the message. The order and the size of the fields MUST be same as specified in the following syntax of the Trigger Message.

```

<trigger-message> ::= <digest><trigger>

<digest> ::= 128*BIT ; 'MD5 Digest value'

<trigger> ::= <trigger-hdr><trigger-body>

<trigger-hdr> ::= <version><ui-mode><initiator><future-use>
                <sessionid><length-identifier><server-identifier>

<version> ::= 10*BIT ; 'Device Management Version'
<ui-mode> ::= <not-specified> / <background> /
              <informative> / <user-interaction>
              ; 'Background/Informative/
              ; User Interaction session'
<not-specified> ::= "00" ; '2*bit value "0"'
<background> ::= "01" ; '2*bit value "1"'
<informative> ::= "10" ; '2*bit value "2"'
<user-interaction> ::= "11" ; '2*bit value "3"'
<initiator> ::= <client> / <server> ; 'Server/User initiated'
<client> ::= "0" ; '1*bit value "0"'
<server> ::= "1" ; '1*bit value "1"'
<future-use> ::= 27*BIT ; 'Reserved for future DM use'
<sessionid> ::= 16*BIT ; 'Session identifier'
<length-identifier> ::= 8*BIT ; 'Server Identifier length'
<server-identifier> ::= <length-identifier>*CHAR ; 'Server Identifier'

<trigger-body> ::= [<vendor-specific>]

<vendor-specific> ::= n*BIT ; 'Optional vendor specific info'
  
```

## 6.2 Description of the fields

### 6.2.1 Trigger Message

The *<trigger-message>* field specifies the message causing the client to connect to the server.

### 6.2.2 Digest

The *<digest>* field specifies the MD5 Digest authentication. The Digest is computed as Digest = H(B64(H(server-identifier:password)):nonce:B64(H(trigger))). Length of MD5 Digest is 128 bits.

### 6.2.3 Trigger

The *<trigger>* field is container for the trigger-hdr and trigger-body fields.

### 6.2.4 Header of the Trigger Message

The *<trigger-hdr>* field specifies the header of the Trigger Message.

### 6.2.5 Body of the Trigger Message

The *<trigger-body>* field specifies the body of the Trigger Message.

### 6.2.6 Version Information

The *<version>* field specifies the version of the SyncML Device Management Package #0 and the SyncML DM protocol used in the SyncML DM server. This value is specified by using the 10 bits in the Trigger Message. The supported version is counted as Supported version = DEC (version)/10, i.e. first the bit value is transferred to the numeric and then divided by ten. Therefore the biggest possible version is '102.3' and the version '1.0' is specified as '0000001010'.

### 6.2.7 User Interaction Mode

The *<ui-mode>* field specifies the server recommendations whether the server wants the management session to be executed in background or show a notification to the user. A client SHOULD follow this recommendation.

The values the User Interaction mode can have:

- Not specified – The *<not-specified>* field in *<user-interaction>* field specifies that the server don't have a recommendation to this element. This value is specified by using the 2 bits and the bit value for not specified action is "00".
- Background management action – The *<background>* field specifies that the server recommends the management action SHOULD be done as a background event. This value is specified by using the 2 bits and the bit value for background action is "01".
- Informative management action – The *<informative>* field specifies that the server recommends the client to display an informative notification or maybe emitting a beep sound announcing the beginning of the provisioning session to the device user. This value is specified by using the 2 bits and the bit value for informative notification is "10".
- User Interaction before the management action – The *<user-interaction>* field specifies that the server recommend the client to prompt the device user for acceptance of the offered management session before the management session takes place. This value is specified by using the 2 bits and the bit value for user displayable notification is "11".

## 6.2.8 Initiator of the Management Action

The <initiator> field specifies how the server has interpreted the initiation of the management action, either because the end user requested it or because the server has management actions to perform. A client SHOULD follow this recommendation.

The values the Initiator of the Management action can have:

- Client (End User) Initiated management action – The <client> field specifies that the end user caused the device management session to start. This value is specified by using 1 bit and the bit value for end user initiated management session is “0”.
- Server Initiated management action – The <server> field specifies that the server (operator, enterprise) caused the device management session to start. This value is specified by using 1 bit and the bit value for Server initiated management session is “1”.

The <client> and <server> values do not convey any information related to “sync type” (SyncML Synchronization protocol document [SYNCPRO] for details of Sync Types).

## 6.2.9 Future Use of the Device Management

The <future-use> field is reserved for the future fields for SyncML Device Management. The reserved space is 27 bits long and the bit value for bits not yet in use MUST be “0”.

## 6.2.10 Session Identifier

The <sessionid> field specifies the identifier of the SyncML DM session associated with the SyncML Message. This value is specified by using the 16 bits in the Trigger Message. The Session ID MUST be different between different management session Trigger Messages and the Client MUST use this Session ID when it connects to the SyncML DM Server. If the server triggers the same management session several times, it is recommended the same Session ID be used. If client receives the same Session ID several times it is enough for a client to initiate only one management session.

When preparing the OMA DM Message for connection to the DM server, the binary session ID value from the trigger message, in the unsigned hexadecimal range of 1 through FFFF, SHALL be mapped to a string of hexadecimal digits (chosen from the numeric digits “0”-“9” and the upper-case letters “A”-“F”) of between one and four characters in length, inclusive, and placed in the SessionID element of the OMA DM message. Leading zeros MUST NOT be included.

## 6.2.11 Length of the Identifier

The <length-identifier> field specifies the length of the Server Identifier of the management server. The value of the Length Identifier is counted as Length of the server-identifier = DEC (length-identifier).

## 6.2.12 Server Identifier

The <server-identifier> field specifies the Server Identifier of the management server. Length of source is specified in the <length-identifier> field.

## 6.2.13 Vendor Specific Information

The optional <vendor specific> field is used to specify vendor specific information. This field follows the source field and the remainder of the Trigger Message size can be packed with vendor specific information.

## 7. SyncML Device Management Transport Dependant Profiles

The following sections illustrate the transport dependant profiles for sending a trigger from SyncML Device Management Server to a SyncML Device Management Client.

### 7.1 Package #0 delivered using WAP Push

The WAP Push framework provides a means for a *Push Initiator* (PI) to send information to a mobile terminal via a *Push Proxy Gateway* (PPG) in an asynchronous manner (see [PROVARCH] for an overview). It is assumed that the SyncML DM server will act as a PI, but it is also possible for the server to communicate directly with the mobile terminal if it is able to operate as a PPG.

When the WAP Push framework is used to deliver Package #0, the non-secure connectionless WSP [WSP] session service is utilized as defined in [PUSHOTA]. The following rules MUST be adhered to as well as the order of the WSP headers:

- The Content-Type header [PUSHMSG] MUST include the MIME media type for Packet #0 as defined in [IANA]. The Content-Type code 0x44 MUST be used instead of the textual representation of the MIME code.
- The X-WAP-Application-ID header [PUSHMSG] MUST include the application-id associated with the SyncML Device Management User Agent. The application-id code 0x07 MUST be used instead of the textual representation of the Application-id.
- Other headers may be included if it is known that the SyncML DM Client can interpret them in a useful manner. However, it must be ensured that the total length of the WDP and WSP headers never exceeds 48 bytes to ensure that there is sufficient space for the payload.
- The push message is sent to the default non-secure connectionless push port (2948)

The message payload has been designed to fit into a single short message when SMS is used to deliver WAP Push. If the WAP Push message does not fit into a single SMS message the concatenated messages MUST be used.

#### 7.1.1 Using non WAP Push capable devices

If the receiver is not a WAP device, it is very unlikely that any other application would be active on the same port, which has been publicly registered with IANA. The decoding of the message headers is very straightforward even if the device lacks a full WAP stack and therefore the device MUST examine if the message has been sent to the WAP push port (2948) and if the Application-ID and the MIME type are one assigned to the SyncML DM Notification Initiation Package. If this information is correct then the message MUST be routed to the SyncML Device Management application.

### 7.2 Package #0 over OBEX

Local Notification Initiated Session over OBEX is done inside the PUT command of the OBEX protocol. This happens in the same way as sending the SyncML messages over OBEX to a SyncML client (See the SyncML OBEX Binding specification [OBEXBD]).

## Appendix A. Static Conformance Requirements (Normative)

The static conformance requirements can be found in [DMCONF].

## Appendix B. Change History

(Informative)

### B.1 Approved Version History

Reference	Date	Description
n/a	n/a	No previous version within OMA

### B.2 Draft/Candidate Version 1.1.2 History

Document Identifier	Date	Section	Description
Class 0	03-Apr-2003	All	The initial version of this document, based on SyncML DM 1.1.1.
Class 3	11-Apr-2003	All	Editorial comments incorporated
Class 3	08-May-2003	All	Editorial corrections
Draft Version OMA-SyncML-DMNotification-V1_1_2- 20030508-D	08-May-2003		Draft Version for TP approval
Candidate Version OMA-SyncML-DMNotification-V1_1_2- 20030612-C	12-Jun-2003		Status Changed to Candidate by TP TP ref# OMA-TP-2003-0266R1
Draft Version OMA-SyncML-DMNotification-V1_1_2- 20031205-D	05-Dec-2003	6.2.10	Incorporated CR OMA-DM-2003-0159-CR-to-Notification- Initiated-Session

## Appendix C. Example of Trigger Message from Server (Informative)

Example WAP Push over SMS containing the trigger information:

Binary value	Meaning	Description
06	User-Data-Header (UDHL) Length = 6 bytes	WDP layer (start WDP headers).
05	UDH IE identifier: Port numbers	
04	UDH port number IE length	
0B	Destination port (high)	Port number 2948
84	Destination port (low)	
C0	Originating port (high)	Port number chosen by sender
02	Originating port (low)	WDP layer (end WDP headers)
01	Transaction ID / Push ID	WSP layer (start WSP headers)
06	PDU type (push)	
03	Headerslength (content type+headers)	
C4	Content type code	MIME-Type
AF	X-WAP-Application-ID	
87	Id for urn: x-wap-application:syncml.dm	WSP layer (end WSP headers)
	128-bit digest value	Digest
	Binary '0000001011'	Version '1.1'
	Binary '01'	UI-Mode '1'
	Binary '0'	Initiator '0'
	Binary '00000000000000000000000000000000'	Future DM use
	Binary '00000000000000001'	SessionID '1'
	Binary '00010010'	Server Identifier length '18'
63, 6F, 6D, 2E, 6D, 67, 6D, 74, 73, 72, 76, 2E, 6D, 61, 6E, 61, 67, 65	String 'com.mgmtsrv.manage'	Server Identifier