



# **Enabler Release Definition for Smartcard-Web-Server**

Candidate Version 1.0 – 02 Oct 2007

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**Open Mobile Alliance**

OMA-ERELED-Smartcard\_Web\_Server-V1\_0-20071002-C

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# 1. Scope

The scope of this document is limited to the Enabler Release Definition of the Smartcard Web Server (SCWS) according to OMA Release process and the Enabler Release specification baseline listed in section 6.

## 2. References

### 2.1 Normative References

- [HTTP/1.1] “Hypertext Transfer Protocol -- HTTP/1.1”, RFC 2616, June 1999,  
URL: <http://www.ietf.org/rfc/rfc2616.txt>
- [HTTP over TLS] “Hypertext Transfer Protocol over TLS protocol”, RFC 2818, May 2000,  
URL: <http://www.ietf.org/rfc/rfc2818.txt>
- [ISO7816-4] “Information technology - Identification cards - Integrated circuit(s) cards with contacts – Part 4: Interindustry commands for interchange”
- [OMA-TLS-Profile] “OMA TLS Profile”, Open Mobile Alliance™, OMA-TS-TLS-V1\_0,  
URL: <http://www.openmobilealliance.org/>
- [RFC2119] “Key words for use in RFCs to Indicate Requirement Levels”, S. Bradner, March 1997,  
URL: <http://www.ietf.org/rfc/rfc2119.txt>
- [SCR RULES] “SCR Rules and Procedures”, Open Mobile Alliance™, OMA-ORG-  
SCR\_Rules\_and\_Procedures, URL: <http://www.openmobilealliance.org/>
- [SCWS\_AD] “Smartcard Web Server Architecture”, Open Mobile Alliance™, OMA-AD-  
Smartcard\_Web\_Server-V1\_0, URL: <http://www.openmobilealliance.org/>
- [SCWS\_RD] “Smartcard Web Server Requirements”, Open Mobile Alliance™, OMA-RD-  
Smartcard\_Web\_Server-V1\_0, URL: <http://www.openmobilealliance.org/>
- [SCWS\_TS] “Smartcard Web Server”, Open Mobile Alliance™, OMA-TS-Smartcard\_Web\_Server-V1\_0,  
URL: <http://www.openmobilealliance.org/>
- [TLS] “Security Transport Protocol”, RFC 2246, January 1999,  
URL: <http://www.ietf.org/rfc/rfc2246.txt>
- [TS 102 223] “TS 102 223 Technical Specification Smart Cards; Card Application Toolkit (CAT)”, R7 or  
higher, European Telecommunications Standards Institute (ETSI), URL: <http://www.etsi.org>

### 2.2 Informative References

- [OMA-DICT] “OMA Dictionary”, Open Mobile Alliance™, OMA-Dictionary-V2\_1,  
URL: <http://www.openmobilealliance.org/>
- [SCWS WID] Smartcard web server work item (WID 92)
- [WAPWAE] “Wireless Application Environment Specification”, Open Mobile Alliance™, OMA-WAP-  
WAESpec-V2\_3, URL: <http://www.openmobilealliance.org/>
- [WP HTTP] “Wireless Profiled HTTP”, WAP Forum™, WAP-229-HTTP,  
URL: <http://www.openmobilealliance.org/>

## 3. Terminology and Conventions

### 3.1 Conventions

The key words “MUST”, “MUST NOT”, “REQUIRED”, “SHALL”, “SHALL NOT”, “SHOULD”, “SHOULD NOT”, “RECOMMENDED”, “MAY”, and “OPTIONAL” in this document are to be interpreted as described in [RFC2119].

All sections and appendixes, except “Scope” and “Introduction”, are normative, unless they are explicitly indicated to be informative.

The formal notation convention used in sections 9 to **Error! Reference source not found.** to formally express the structure and internal dependencies between specifications in the Enabler Release specification baseline is detailed in [SCRRULES].

### 3.2 Definitions

|  |   |
|--|---|
| <b>BIP</b>                               | Bearer Independent Protocol as defined in ETSI [TS 102 223].  |
| <b>Browser</b>                           | A program used to view (x) HTML or other media type documents.  |
| <b>CSIM</b>                              | A Cdma2000 Subscriber Identify Module is an application defined in [3GPP2 C.S0065] residing on the UICC to register services provided by 3GPP2 mobile networks with the appropriate security.   |
| <b>Device (or Terminal)</b>              | A voice and/or data terminal that uses a Wireless Bearer for data transfer. Terminal types may include (but are not limited to): mobile phones (GSM, CDMA, 3GSM, etc.), data-only terminals, PDAs, laptop computers, PCMCIA cards for data communication and unattended data-only terminals (e.g., vending machines).   |
| <b>Enabler Release</b>                   | Collection of specifications that combined together form an enabler for a service area, e.g. a download enabler, a browsing enabler, a messaging enabler, a location enabler, etc. The specifications that are forming an enabler should combined fulfil a number of related market requirements.   |
| <b>HTTPS</b>                             | A short term for HTTP over TLS.   |
| <b>ISIM</b>                              | An IP Multimedia Services Identity Module is an application defined in [3GPP TS 31.103] residing in the memory of the UICC, providing IP service identification, authentication and ability to set up Multimedia IP Services.   |
| <b>Minimum Functionality Description</b> | Description of the guaranteed features and functionality that will be enabled by implementing the minimum mandatory part of the Enabler Release.  |
| <b>Network Operator</b>                  | An entity that is licensed and allocated frequency to operate a public mobile wireless telecommunications network for the purpose of providing publicly available commercial services.  |
| <b>R-UIM</b>                             | A Removable User Identity Module is a standalone module defined in [3GPP2 C.S0023] to register services provided by 3GPP2 mobile networks with the appropriate security.  |
| <b>SIM</b>                               | A Subscriber Identity Module is a standalone module defined in [3GPP TS 51.011] to register services provided by 2G mobile networks with the appropriate security.  |
| <b>Smart card</b>                        | This is a portable tamper resistant device with an embedded microprocessor chip. A smart card is used for storing data (e.g. access codes, user subscription information, secret keys etc.) and performing typically security related operations like encryption and authentication. A smart card may contain one or more network authentication applications like the SIM (Subscriber Identification Module), USIM, R-UIM (Removable – User Identification Module), CSIM (CDMA SIM). |
| <b>Smart card application</b>            | An application that executes in the smart card.   |
| <b>Smart card issuer</b>                 | The entity that gives/sales the smart card to the user (e.g. network operator for a SIM card).  |
| <b>Smart Card Web Server</b>             | A Web server running in the smart card.   |
| <b>UICC</b>                              | UICC is the smart card defined for the ETSI standard [TS 102.221]. It is a platform to resident applications (e.g. USIM, CSIM or ISIM).   |
| <b>URI</b>                               | Uniform Resource Identifiers (URI, see [RFC1630]) provides a simple and extensible means for identifying a resource. URI syntax is widely used to address Internet resources over the web but is also adapted to local resources over a wide variety of protocols and interfaces.   |

|                   |  |
|-------------------|--|
| <b>URL</b>        | The specification is derived from concepts introduced by the World-Wide Web global information initiative, whose use of such objects dates from 1990 and is described in "Universal Resource Identifiers in WWW", RFC 1630. The specification of URLs (see [RFC1738]) is designed to meet the requirements laid out in "Functional Requirements for Internet Resource Locators". |
| <b>User</b>       | Person who interacts with a user agent to view, hear or otherwise use a resource.  |
| <b>USIM</b>       | A Universal Subscriber Identity Module is an application defined in [3GPP TS 31.102] residing in the memory of the UICC to register services provided by 3GPP mobile networks with the appropriate security.   |
| <b>Web Page</b>   | A document viewable by using a web browser or client application which is connected to the page server.  |
| <b>Web server</b> | A server process running on a processor, which sends out web pages in response to HTTP requests from browsers.   |

### 3.3 Abbreviations

|               |  |
|---------------|--|
| <b>APDU</b>   | Application Protocol Data Units        |
| <b>CSIM</b>   | CDMA SIM                               |
| <b>ERDEF</b>  | Enabler Requirement Definition         |
| <b>ERELD</b>  | Enabler Release Definition             |
| <b>IP</b>     | Internet Protocol                      |
| <b>OMA</b>    | Open Mobile Alliance                   |
| <b>R-UIM</b>  | Removable User Identity Module         |
| <b>SCWS</b>   | Smart Card Web Server                  |
| <b>TCP</b>    | Transmission Control Protocol          |
| <b>TLS</b>    | Transport Layer Security               |
| <b>(U)SIM</b> | (Universal) Subscriber Identity Module |

## 4. Introduction

This document outlines the Enabler Release Definition for SCWS and the respective conformance requirements for clients and servers implementing claiming compliance to it as defined by Open Mobile Alliance across the specification baseline.

This enabler release defines the interfaces to an HTTP server in a smart card (i.e. Smart Card Web Server) that is embedded in a mobile device (e.g. SIM, (U)SIM, UICC, R-UIM, CSIM).

The main interfaces cover the following aspects:

- The URL to access the Smart Card Web Server (SCWS)
- The transport protocol that is used to enable the communication between HTTP applications in the device and the Smart Card Web Server
- The HTTP profile that the Smart Card Web Server needs to implement
- A secure remote administration protocol for the Smart Card Web Server
- User, or principal, authentication with the Smart Card Web Server and related security protocols

It is important to note that the Smart Card Web Server can be administrated only by the smart card issuer (e.g. Mobile Network Operator) or a delegated authorized entity. This clearly sets the scope of ownership and roles for the remote administration and services that are deployed via the Smart Card Web Server.



## 5. Description of Differences from Previous Version

This is a new enabler release.

## 6. Document Listing for SCWS

This section is normative.

| Doc Ref                         | Permanent Document Reference                | Description   |
|---------------------------------|---|---|
| <b>Requirement Document</b>     |   |   |
| [SCWS_RD]                       | OMA-RD-Smartcard_Web_Server-V1_0-20060606-C | Requirement Document for SCWS Enabler   |
| <b>Architecture Document</b>    |   |   |
| [SCWS_AD]                       | OMA-AD-Smartcard_Web_Server-V1_0-20070209-C | Architecture Document for SCWS Enabler  |
| <b>Technical Specifications</b> |   |   |
| [SCWS_TS]                       | OMA-TS-Smartcard_Web_Server-V1_0-20071002-C | Specification that defines the protocols for the SCWS that provide control interface between the SCWS Client and SCWS Server and also between the SCWS server and a remote administration server. |
| <b>Supporting Files</b>         |   |   |
| (none)                          |   |   |

**Table 1: Listing of Documents in SCWS Enabler**

## 7. Minimum Functionality Description for SCWS

A Smart Card Web Server (SCWS) is an HTTP server that is implemented in a smart card, embedded in the mobile device (e.g. SIM, (U)SIM, UICC, R-UIM, CSIM). It allows network operators to offer state of the art smart card based services to their customers by using the widely deployed [HTTP/1.1] protocol.

The following features of the enabler release are considered mandatory:

- Implementation of a transport protocol that is used to enable the communication between HTTP applications in the device and the Smart Card Web Server
- Implementation of the profiled HTTP protocol by the SCWS in the smart card
- Implementation of the secure remote administration protocol for the Smart Card Web Server
- Implementation of the PSK-TLS protocol to enable secure remote administration of the SCWS

It should be noted that there are two possible transport protocols on top of which the HTTP client and the Smartcard Web Server can exchange HTTP requests and responses and at least one of them must be implemented. These are either TCP/IP or BIP (Bearer Independent Protocol) as defined in [TS 102 223].

## 8. Conformance Requirements Notation Details

This section is informative

The tables in following chapters use the following notation:

- Item:** Entry in this column **MUST** be a valid ScrItem according to [SCRRULES].
- Feature/Application:** Entry in this column **SHOULD** be a short descriptive label to the **Item** in question.
- Status:** Entry in this column **MUST** accurately reflect the architectural status of the **Item** in question.
- M means the **Item** is mandatory for the class
  - O means the **Item** is optional for the class
  - NA means the **Item** is not applicable for the class
- Requirement:** Expression in the column **MUST** be a valid TerminalExpression according to [SCRRULES] and it **MUST** accurately reflect the architectural requirement of the **Item** in question.

## 9. ERDEF for SCWS - Client Requirements

This section is normative.

The Client is an application running in the Device that connects to the SCWS (Smart Card Web Server).

| Item                 | Feature / Application | Status | Requirement    |
|----------------------|-----------------------|--------|----------------|
| OMA-ERDEF-SCWS-C-001 | SCWS Client           | M      | [SCWS-TS]: MCF |

**Table 2: ERDEF for SCWS Client-side Requirements**

## 10.ERDEF for SCWS - Server Requirements

This section is normative.

| Item                 | Feature / Application | Status | Requirement    |
|----------------------|-----------------------|--------|----------------|
| OMA-ERDEF-SCWS-S-001 | Smart Card Web Server | M      | [SCWS-TS]: MSF |

**Table 3: ERDEF for SCWS Server-side Requirements**

## 11.ERDEF for SCWS - Admin Client Requirements

This section is normative.

The Admin Client is an application running in the smart card that connects to a remote administration server in order to receive administration commands that are addressed to the SCWS.

| Item                       | Feature / Application | Status | Requirement            |
|----------------------------|-----------------------|--------|------------------------|
| OMA-ERDEF-SCWS-admin-C-001 | SCWS Admin Client     | M      | [SCWS-TS]: MCF (admin) |

**Table 4: ERDEF for SCWS Admin Client-side Requirements**

## 12.ERDEF for SCWS Admin - Server Requirements

This section is normative.

The Admin Server is a remote administration server that sends administration commands to the SCWS via the Admin Client in the smart card.

| Item                       | Feature / Application    | Status | Requirement            |
|----------------------------|--------------------------|--------|------------------------|
| OMA-ERDEF-SCWS-admin-S-001 | SCWS remote admin server | M      | [SCWS-TS]: MSF (admin) |

**Table 5: ERDEF for SCWS Remote Admin Server-side Requirements**



## 13.ERDEF for SCWS - Device Requirements

This section is normative.

The Device in which the SCWS Client (application that connects to the SCWS) is running.

| Item                      | Feature / Application | Status | Requirement                          |
|---------------------------|-----------------------|--------|--------------------------------------|
| OMA-ERDEF-SCWS-Device-001 | Device                | M      | [SCWS-TS]: MDF (D-stands for Device) |

**Table 6: ERDEF for SCWS ME Requirements**

## Appendix A. Change History (Informative)

### A.1 Approved Version History

| Reference | Date | Description      |
|-----------|------|------------------|
| n/a       | n/a  | No prior version |

### A.2 Draft/Candidate Version 1.0 History

| Document Identifier                                      | Date        | Sections | Description   |
|--|-------------|----------|---|
| Draft Versions<br>OMA-ERELD-Smartcard_Web_Server-V1_0    | 18 Dec 2006 | n/a      | Initial - Draft ERELD for the Smartcard Web Server Enabler  |
|  | 04 Feb 2007 | n/a      | Clean-up for TP Approval  |
| Candidate Version<br>OMA-ERELD-Smartcard_Web_Server-V1_0 | 09 Feb 2007 |          | Status changed to Candidate by TP<br>TP ref # OMA-TP-2007-0078R05-<br>INP_SCWS_V1_0_ERP_and_ETR_for_candidate_approval<br>Editorial clean-up of AD and TS prior to publication. |
|  | 02 Oct 2007 | All      | ERELD: Reference to [IOPPROC] replaced with reference to [SCRRULES].<br>Changes to OMA-TS-Smartcard_Web_Server-V1_0.  |